

A Comprehensive Review of UV-visible spectroscopy

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ABSTRACT

UV spectroscopy is a powerful analytical technique used to study the absorption of ultraviolet light by molecules, providing insights into their electronic structure. It is widely applied in chemistry, biochemistry, and environmental science for compound identification and quantification. This review examines the principles of UV absorption, the types of UV spectrometers, and the integration of UV spectroscopy with other analytical methods. Recent advancements, including portable spectrometers and applications in pharmaceuticals and environmental monitoring, are also discussed. The pharmaceutical analysis comprises the procedure necessary to determine the “identity, strength, quality and purity” of such compound. Challenges such as sensitivity and data interpretation are highlighted, with ongoing efforts to enhance these aspects. UV spectroscopy continues to be an essential tool in scientific research and industry.

KEYWORDS:UV- VIS Spectroscopy, Electronic transitions, Spectral shifts, Analytical techniques.

I. INTRODUCTION

UV-Vis spectroscopy, also known as ultraviolet-visible spectrophotometry (UV-Vis or UV/Vis), is an absorption or reflectance spectroscopy technique that uses the ultraviolet and visible regions of the electromagnetic spectrum).^[1,4,6] It is a widely used, cost-effective, and easily implemented methodology in various applied and fundamental fields^[1,4,6].

The principle of UV-Vis spectroscopy is based on the absorption of UV or visible light by chemical compounds^[2,3,6]. This absorption leads to the production of distinct spectra, as the interaction of light with matter causes excitation and de-excitation within the sample^[2,3]. When a substance absorbs light in the UV or visible range, the molecules undergo a change in their electronic state^[2]. Specifically, the absorption of ultraviolet radiation causes electrons in the substance to become excited, transitioning from a ground state to a higher energy state^[3,5]. The energy difference between these states corresponds to the amount of

UV or visible radiation absorbed^[3]. UV-Vis spectrophotometers are analytical instruments that measure the amount of UV and visible light absorbed by a sample^[1]. They work by passing a beam of light through the sample and measuring the amount of light absorbed at each wavelength^[1]. The amount of light absorbed is proportional to the concentration of the absorbing compound in the sample. Most molecules and ions absorb energy in the UV or visible range, making them chromophores^[1]. When a photon is absorbed, an electron in the chromophore is excited to a higher energy molecular orbital, resulting in an excited state. Organic chromophores typically exhibit four types of transitions: $\pi-\pi^*$, $n-\pi^*$, $\sigma-\sigma^*$, and $n-\sigma^*$ ^[1,5].

The UV region spans from 10 nm to 400 nm and can be further divided into:

Extreme Ultraviolet (EUV): 10 nm - 121 nm.

Far Ultraviolet (FUV): 122 nm - 200 nm.

Middle Ultraviolet (MUV): 200 nm – 300 nm.

Near Ultraviolet (NUV): 300 nm - 400 nm.

The visible light region falls within the range of 380 nm to 760 nm^[4].

Spectroscopy involves measuring and interpreting electromagnetic radiation that is absorbed or emitted when a sample's molecules, atoms, or ions transition between energy states. UV spectroscopy is a type of absorption spectroscopy where light in the UV region (200-400 nm) is absorbed by the molecule, leading to the excitation of electrons from the ground state to a higher energy state. Molecules with π -electrons or nonbonding electrons (n-electrons) can absorb energy in the form of ultraviolet light, which excites these electrons to higher anti-bonding molecular orbitals. The ease with which electrons are excited is related to the wavelength of light they can absorb, following the order: $\sigma-\sigma^* > n-\sigma^* > \pi-\pi^* > n-\pi^*$. The resulting UV spectrum can aid in identifying the compound^[5].

UV-Vis spectroscopy can be applied qualitatively to identify functional groups or confirm a compound's identity by comparing its

absorbance spectrum. It can also be used quantitatively to determine the concentration of an analyte using Beer's Law, which relates absorbance to concentration. This analytical technique is used to quantify the amount of DNA or protein in a sample, for water analysis, and as a detector for many types of chromatography^[6]. It is also utilized in astronomy to analyze galaxies and neutron stars^[7].

Principle of UV spectroscopy

UV spectroscopy, a form of absorption spectroscopy, utilizes ultraviolet light (200-400 nm) to analyze a substance^[10]. The technique hinges on the interaction between light and matter, where a sample absorbs UV light, leading to the excitation of electrons from their ground state to higher energy levels^[8,10]. This process generates a distinct spectrum that aids in identifying the compound^[8,9,10].

Electronic transitions

When molecules absorb ultraviolet radiation, electrons are excited, transitioning from a ground state to an excited state^[8,10,11]. The energy difference between these states corresponds to the amount of UV radiation absorbed^[8,11]. Molecules containing π -electrons or nonbonding electrons (n-electrons) can absorb energy in the form of ultraviolet light, which excites these electrons to higher anti-bonding molecular orbitals^[10].

There are four possible types of transitions ($\pi \rightarrow \pi^*$, $n \rightarrow \pi^*$, $\sigma \rightarrow \sigma^*$, and $n \rightarrow \sigma^*$), and they can be ordered based on the energy required for the transition: $\sigma \rightarrow \sigma^* > n \rightarrow \sigma^* > \pi \rightarrow \pi^* > n \rightarrow \pi^*$ ^[10].

The Beer-Lambert Law is fundamental to UV spectroscopy, establishing a linear relationship between the concentration of a substance and its absorbance^[8,9,11]. This law enables the calculation of a solution's concentration by measuring its absorbance^[9,11]. The law states that the rate at which the intensity of a light beam decreases as it passes through a solution is directly proportional to both the concentration of the absorbing substance and the intensity of the incident light^[8].

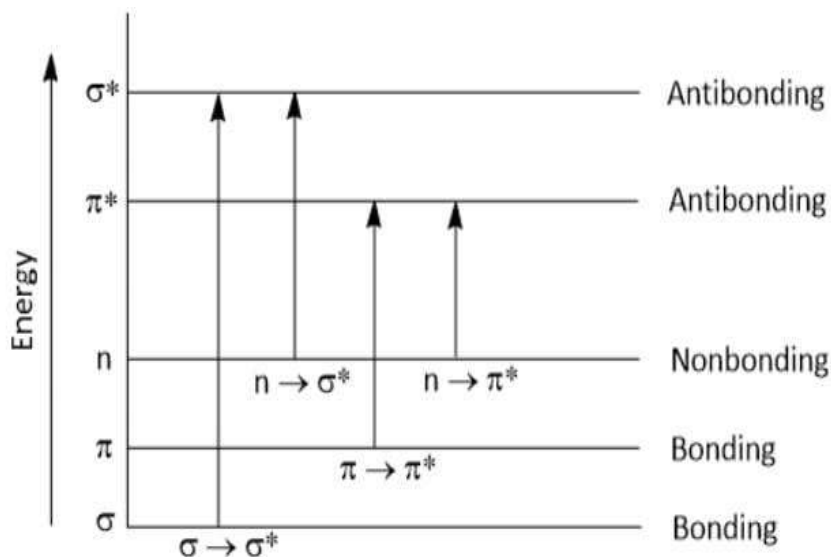


Fig. Electronic transitions graphical representation

Ultraviolet Absorption Spectrophotometry^[12-17]

Spectrophotometry is generally preferred especially by small-scale industries as the cost of the equipment is less and the maintenance problems are minimal. The method of analysis is based on measuring the absorption of a monochromatic light by colorless compounds in the near ultraviolet path of the spectrum (200-400nm).

The fundamental principle of operation of spectrophotometer covering UV region consists in that light of definite interval of wavelength passes through a cell with solvent and falls on to the photoelectric cell that transforms the radiant energy into electrical energy measured by a galvanometer. Ultraviolet-visible spectroscopy is used to obtain the absorbance spectra of a compound in solution

or as a solid. What is actually being observed spectroscopically is the absorbance of light energy or electromagnetic radiation, which excites electrons from the ground state to the first singlet excited state of the compound or material. The UV-visible region of energy for the electromagnetic spectrum covers 1.5 - 6.2 eV which relates to a wavelength range of 800 - 200 nm. The Beer-Lambert Law is the principle behind absorbance spectroscopy.

$$A = a b c$$

Where,

A = Absorbance,

a = Absorptivity,

b = Path length,

c = Concentration.

There are two types of absorbance instruments used to collect UV-Visible spectra:

1. Single beam spectrometer

2. Double beam spectrometer

All of these instruments have a light source (usually a deuterium or tungsten lamp), a sample holder and a detector, but some have a filter for selecting one wavelength at a time. The single beam instrument (Figure 2) has a filter or a monochromator between the source and the sample to analyze one wavelength at a time.

The double beam instrument has a single source and a Monochromator and then there is a splitter and a series of mirrors to get the beam to a reference sample and the sample to be analysed, this allows for more accurate Monochromator between the sample and the source; instead, it has a diode array detector that allows the instrument to simultaneously detect the absorbance at all wavelengths. The simultaneous instrument is usually much faster and more efficient.

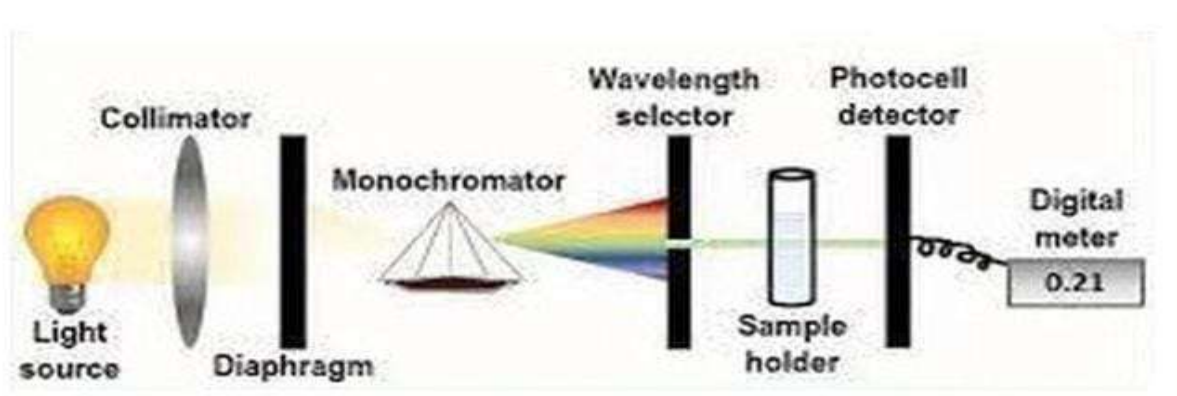


Fig. Single beam spectrophotometer

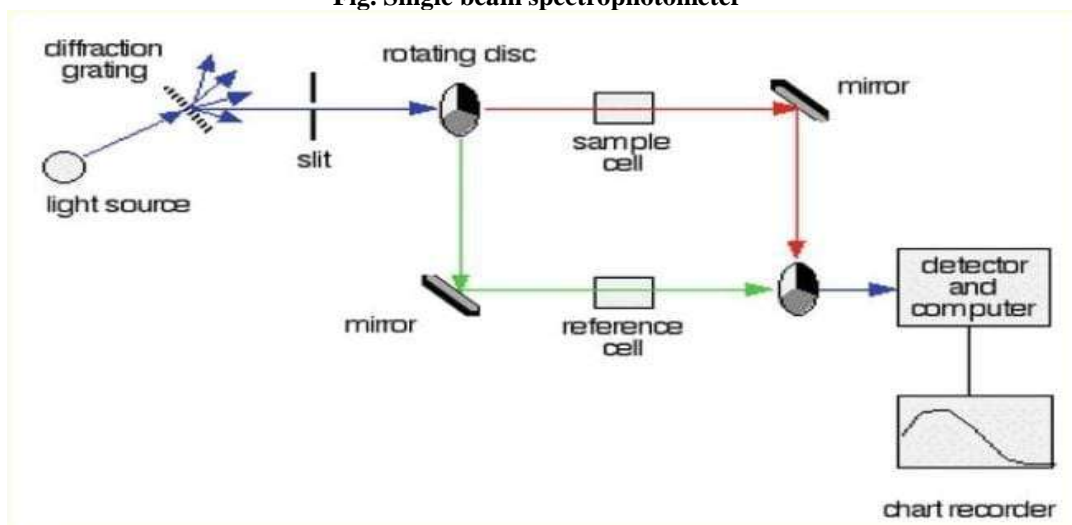
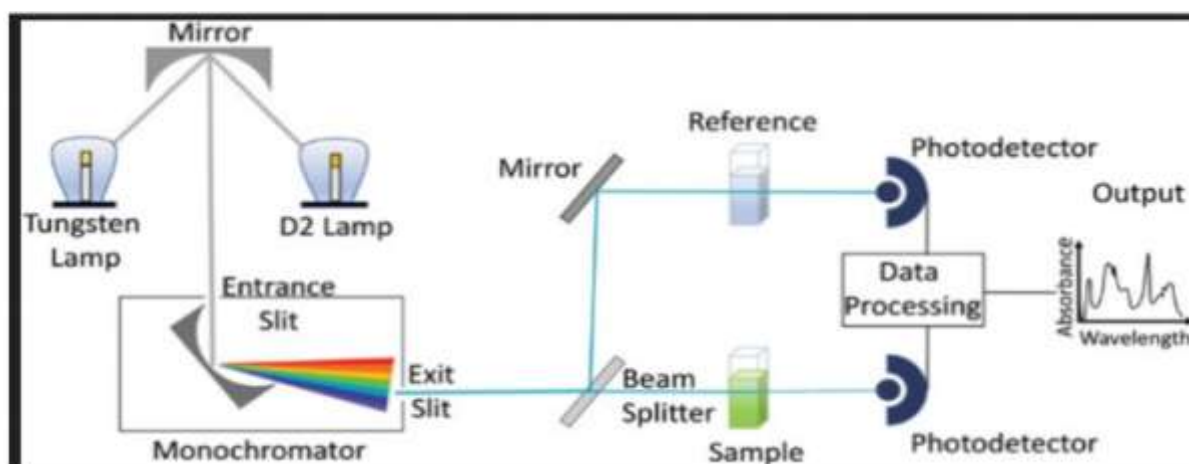


Fig. Double beam spectrophotometer

INSTRUMENTATION:

The instrumentation of UV spectroscopy is as follows:

UV Spectroscopy



Instruments for measuring the absorption of U.V. or visible radiation are made up of the following components:

1. Source
2. Monochromator
3. Sample cell
4. Detector
5. Readout system
 - a. Amplifier
 - b. Display

1.SOURCE

In UV-Vis spectroscopy, the selection of a light source is crucial for accurate and reliable measurements. Ideally, the light source should exhibit consistent intensity across all wavelengths, though this can be difficult to achieve^[18]. To cover the required range of wavelengths, which spans from the ultraviolet to the visible and sometimes near-infrared regions, spectrophotometers typically employ a combination of two light sources^[19]. Common light sources used in UV-Vis spectrophotometers:

Deuterium Lamp:

This is a gas discharge light source that emits light in the near ultraviolet and ultraviolet regions, typically from 150 nm to 400 nm^[19]. Modern versions minimize noise, enhancing instrument performance, but intensity may decrease with prolonged use^[18].

Tungsten-Halogen Lamp:

Characterized by high intensity across a significant portion of the UV spectrum and the entire visible range, this lamp emits light from about 340 nm in the UV region up to over 3500 nm in the near-infrared^[19]. It is known for its low noise and stability^[18].

Xenon Flash Lamp:

This lamp covers the ultraviolet, visible, and near-infrared wavelength regions^[20]. Regular calibration and maintenance are essential to counteract the effects of lamp degradation, temperature changes, and electrical inconsistencies, ensuring accurate and consistent measurements. Some spectrophotometers may alternate between lamp types or combine their emissions to produce a comprehensive light source^[18].

2.MONOCHROMATOR

Monochromator is also known as wavelength selectors. All Monochromator contain the following component parts;

- An entrance slit
- A collimating lens
- A dispersing device (usually a prism or a grating)
- A focusing lens
- An exit slit

Polychromatic radiation (radiation of more than one wavelength) enters the monochromator through the entrance slit. The beam is collimated

and then strikes the dispersing element at an angle. The beam is split into its component wavelengths by the grating or prism. By moving the dispersing

element or the exit slit, radiation of only particular wavelength leaves the Monochromator through the exit slit^[21].

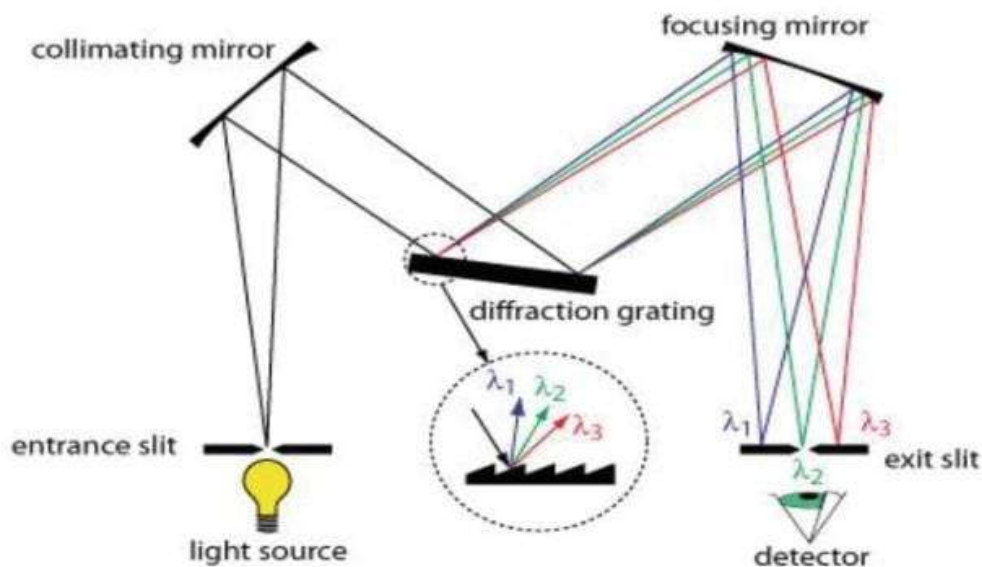


Fig. Monochromator

3.SAMPLE CELL

In UV-Vis spectrophotometry, a sample cell, also known as a cuvette, is a transparent container that holds the sample being measured^[26]. These cells must be transparent to the wavelength being recorded^[22]. They are typically constructed from materials like quartz, glass, or plastic^[25]. The choice of material depends on the wavelength range:

Fused silica (quartz)

Quartz or fused-silica cuvettes are required for spectroscopy in the UV region, specifically when working with wavelengths less than 300 nm^[23,25]. Fused silica cells (S cells) can be used in the 190 to 2500 nm range, while fused silica (IR cells) can be used in the 220 to 3200 nm range^[22].

Glass

Glass cells (G cells) are suitable for measurements in the 320 to 2500 nm range^[22]. Silicate glasses can be used for cuvettes in the 350 to 2000 nm range^[23].

Plastic

Plastic cells can also be used^[25].

Sample cells are available in a variety of shapes and sizes, with the most common pathlength being 1 cm (10 mm)^[24,25]. Other options include cells with shorter (as little as 0.1 cm) and longer (up to 10 cm) pathlengths. Longer pathlength cells are useful for analyzing very dilute solutions or gas samples^[24,25].

Here are some common types of sample cells:

- * Square cell (10, 20, 50, 100 mm optical path length)
- * Square cell with stopper (10 mm optical path length)
- * Semi-micro cell (10 mm optical path length)
- * Semi-micro black cell (10 mm optical path length)
- * Micro black cell (10 mm optical path length)
- * Super-micro black cell (5, 10 mm optical path length)
- * Cylindrical cell (10, 20, 50, 100 mm optical path length)
- * Short path cell (1, 2, 5 mm optical path length)

For volatile liquid samples, a square cell with a stopper is generally used. Micro-cells are used for samples with small volumes^[22].



Fig. Sample Cells

DETECTORS:

Several types of detectors are used in UV-Vis spectroscopy to measure the amount of light transmitted or reflected by a sample and convert it into a signal. Here's an overview of some common detectors:

Photomultiplier tube

Phototube

Diode Array Detector (DAD)

Photomultiplier tube

Photomultiplier tubes (PMTs) are highly sensitive detectors commonly used in ultraviolet (UV) spectroscopy. Their operation is based on the photoelectric effect, where photons striking a photocathode release electrons. This initial emission of electrons is the starting point for a cascading amplification process that allows PMTs to detect very low light levels.

Working Principle of Photomultiplier Tubes:**1. Photon Interaction:**

When light (including UV light) hits the photocathode, it causes the emission of photoelectrons. The number of emitted electrons depends on the intensity of the incident light and the efficiency of the photocathode material^[27,28].

2. Electron Acceleration:

The emitted photoelectrons are accelerated towards a series of electrodes known as dynodes. Each dynode is held at a progressively higher voltage, typically around 90-100 volts more positive than the previous one. This setup creates an electric field that propels the electrons forward^[27,30].

3. Secondary Emission:

Upon striking a dynode, each incoming electron can cause the emission of multiple secondary electrons (this is known as secondary emission). The number of secondary electrons generated is determined by the secondary emission coefficient. If each primary electron generates secondary electrons, and this process is repeated across dynodes, the total multiplication factor can be expressed as^[31].

4. Signal Collection:

The final stage of this process occurs at the anode, where all the multiplied electrons converge to create a measurable current pulse. This pulse corresponds to the original photon that initiated the process, allowing for precise detection even at low light levels^[28,30].

5. High Sensitivity and Gain:

PMTs can amplify signals by factors ranging from 10^3 to 10^8 , making them exceptionally sensitive detectors for applications in spectroscopy and other fields requiring detection of low-intensity light^[33,34].

Applications:

Photomultiplier tubes are integral to various scientific and industrial applications due to their high sensitivity and fast response times. Common uses include:

1. UV-Visible Spectroscopy:

PMTs are widely used in spectrophotometers to measure absorbance and transmittance of UV and visible light.

2. Medical Diagnostics:

They are employed in imaging systems and blood tests where precise detection of low light levels is critical.

3. Astronomy and Particle Physics:

PMTs help detect faint signals from distant celestial bodies or particles in high-energy physics experiments^[29,32].

In summary, photomultiplier tubes are vital instruments in UV detection due to their ability to convert low levels of light into measurable electrical signals through a well-defined amplification process involving photoelectric emission and secondary electron multiplication.

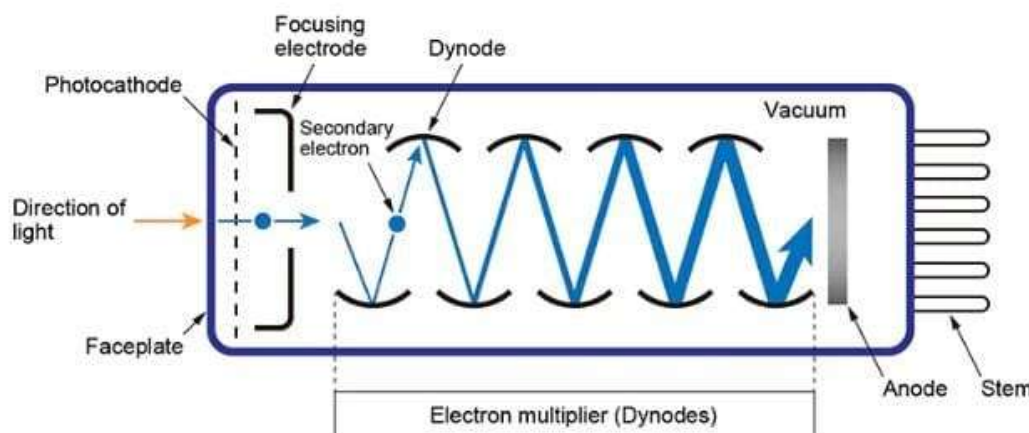


Fig:Photomultiplier Tube

2. Phototube

Phototubes, also known as photoemissive cells, are detectors used in UV spectroscopy. They consist of an evacuated glass bulb with a light-sensitive cathode inside. The inner surface of the cathode is coated with a light-sensitive material, such as potassium oxide and silver oxide^[35].

Working Principle

When UV radiation strikes the cathode, photoelectrons are emitted. These photoelectrons are then collected by an anode. This process generates a current that is amplified and recorded^[35]. A potential difference of approximately 100V is applied between the cathode and anode. The current produced is generally of low intensity and needs amplification^[36].

Instrumentation

In UV spectroscopy, instruments use the following components:

1. Radiation source

Sources include deuterium, hydrogen, tungsten, xenon discharge, and mercury arc lamps.

2. Monochromator

Monochromators contain an entrance slit, collimating lens, dispersing device (prism or grating), focusing lens, and an exit slit.

3. Sample containers

Quartz or fused silica cuvettes are required for spectroscopy in the UV region because glass absorbs radiation at wavelengths less than 350 nm.

4. Detector Detectors include photovoltaic cells, phototubes, and photomultiplier tubes^[35].

Applications

Phototubes respond depending on the wavelength of the incident light. When a photon enters the tube and strikes the cathode, it causes an electron to be ejected, which then strikes the anode, resulting in a current flow^[36].

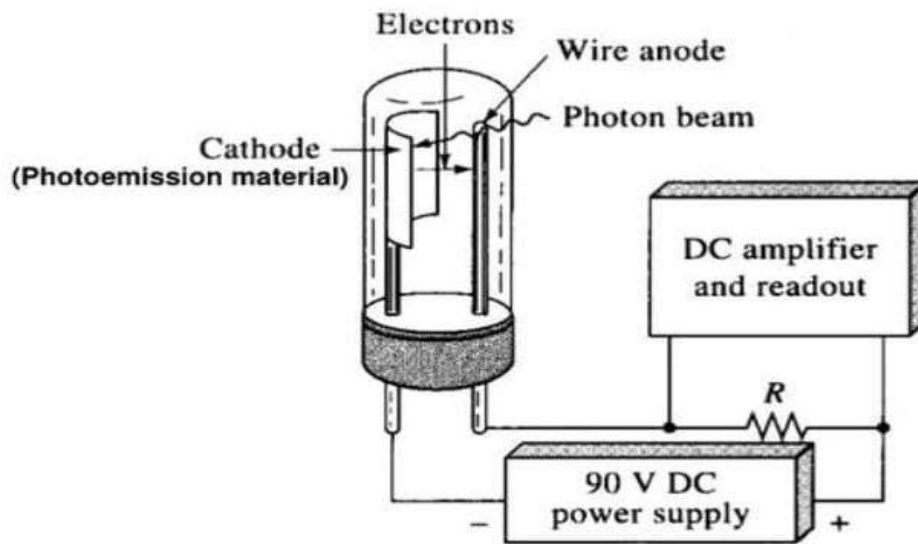


Fig. Phototube

3. Diode Array Detector (DAD):

Diode array detectors (DADs), also known as photodiode array (PDA) detectors, are versatile tools used in UV-Vis spectroscopy, particularly in high-performance liquid chromatography (HPLC) [40,41]. They can simultaneously detect and quantify various sample compounds by measuring their light absorbance at different wavelengths within the ultraviolet and visible range [40].

Working Principle

A DAD utilizes an array of diodes, each sensitive to a specific wavelength of light. The detector typically uses two lamps that emit a broad spectrum of light in the UV-Vis range (190 to 900 nm) and directs this light through the sample. As the light penetrates the sample, different analytes absorb light at distinct wavelengths based on their chemical properties. The diode array measures light intensity at different wavelengths for each target compound, capturing a spectrum for each data point. By measuring the absorbance of the sample at multiple wavelengths simultaneously, DADs can provide detailed information about the sample composition [37,40].

The radiation emitted by a lamp is composed of multiple wavelengths (polychromatic). With the help of a monochromator, the radiation is decomposed, and each diode of the array will receive radiation of a very specific wavelength. The luminous radiation is split into two: one part will pass through the sample and will be subjected to the absorption of certain

wavelengths, whereas the second part will not undergo any modification. In this way, the evaluation of the absorption of the sample is done by subtracting the signal intensity detected on diode-array 1 from that of diode-array 2 [37].

Instrumentation

Key components of a diode array spectrophotometer include:

Light Source:

DADs often use two light sources (Deuterium and Tungsten lamps) to emit a broad spectrum of light, typically within the UV-Vis range (190-900 nm).

Flow Cell:

A transparent container positioned in the detector's optical path through which the sample moves under high pressure, facilitating the interaction of the sample with the incident light [40].

Monochromator:

Decomposes the radiation with the help of a grating [37,38]. In diode array instruments, the diffraction grating is placed after the sample to disperse the transmitted light directly from the sample onto a diode array detector [39].

Diode Array:

An array of diodes, each of which is sensitive to a specific wavelength of light. This array comprehensively covers a broad range of wavelengths [40]. Most DADs use a charge-coupled

diode array with 512 to 1024 diodes (or pixels), capable of a spectral resolution of about 1 nm^[41].

Advantages

Compared to conventional detectors, diode array detectors offer several advantages:

Fast Spectral Data Collection: DADs can acquire a full UV/Vis spectrum in milliseconds to seconds^[39].

Detailed Sample Composition: DADs provide detailed information about sample composition, which is beneficial when handling complex mixtures.

Peak Purity Analysis: DADs facilitate peak purity analysis and can verify analyte identities based on their spectral characteristics^[40].

Improved Signal-to-Noise Ratio:

The speed of data acquisition allows for the collection of multiple spectra for a single sample,

which can be averaged to improve the signal-to-noise ratio^[38].

Applications

Diode array detectors are ideal for collecting complete spectral data on rapidly changing samples in various disciplines:

- * Kinetics
- * Dissolution
- * Liquid chromatography^[39,40]
- * Multicomponent analysis^[39]
- * Pharmaceutical analysis.
- * HPLC method development.

DADs are particularly useful in pharmaceutical laboratories and for HPLC method development^[41]. They are also valuable for identifying components by comparing their spectra, which is especially helpful when there are minor deviations in retention time^[42].

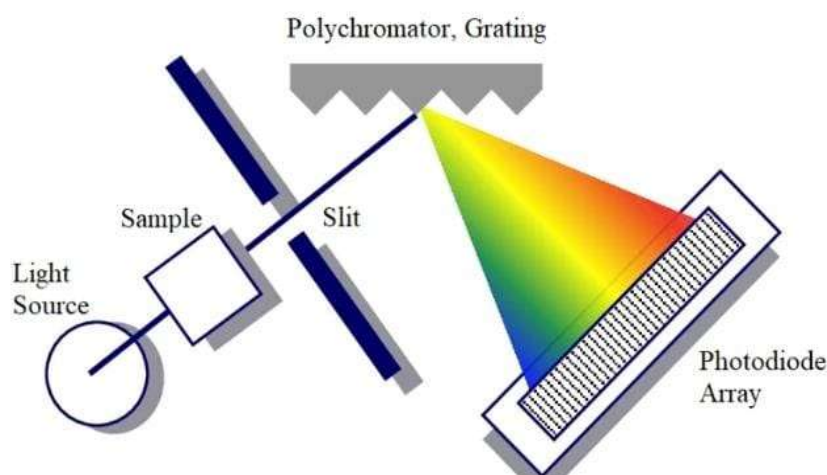


Fig. Diode Array Detector (DAD)

5. READOUT SYSTEM

Read out system consist of two parts that is Amplifier and Display

1. Amplifier

In UV spectroscopy, the amplifier is a crucial component of the readout system. Its primary function is to amplify the weak electrical signals generated by the detectors, such as photocells, photomultiplier tubes, or photodiodes, to a level that can be easily measured and recorded^[43,45,47].

Key points about the amplifier:

Signal Amplification: The signals generated by the detectors are often very weak. The

amplifier increases the strength of these signals, making them clear and recordable^[43,47].

Coupling with Servometer: The amplifier is often connected to a servometer, which helps in accurately recording the amplified signals^[43].

Operational Amplifiers: Operational amplifiers play a vital role in the detector circuit of spectrophotometers. Transimpedance amplifiers are used to convert current to voltage^[46].

Role in Double Beam Spectrophotometers: In double-beam spectrophotometers, the amplifier processes signals from both the sample and reference beams to provide accurate measurements^{[1][2]}^[43,44].

Zero Adjustment: Some amplifier circuits include variable resistors to control the zero point of the instrument, ensuring accurate readings^[48].

2.Display

Display shows the results given by the detector on the LCD or touch screen display.

Chromophores^[49,50,51]

Many organic molecules absorb ultraviolet/visible radiation and this is usually because of the presence of a particular functional group. The groups that actually absorb the radiation are called chromophores. Mathematical treatments of the energy levels of orbital systems suggest that some electronic transitions are statistically probable (said to be allowed, and these absorptions are strong and tend to have ϵ values in excess of 10 000). Other transitions have a probability of zero – they are not expected to occur at all – and are said to be forbidden but they frequently do occur, to give weak bands with ϵ values that rarely exceed 1 000. Some particularly useful forbidden transitions are- $d \rightarrow d$ absorptions of transition metals; the $n \rightarrow \pi^*$ absorption of carbonyl groups at ca 280 nm; and the $\pi \rightarrow \pi^*$ absorption of aromatic compounds at ca

230–330 nm, depending on the substituents on the benzene ring.

Auxochromes

The Colour of a molecule may be intensified by groups called auxochromes which generally do not absorb significantly in the 200-800nm region, but will affect the spectrum of the chromophore to which it is attached. The most important auxochromic groups are OH, NH₂, CH₃ and NO₂ and their properties are acidic (phenolic) or basic. The actual effect of an auxochrome on a chromophore depends on the polarity of the Auxochromes, e.g. groups like CH₃-. In general, it should be possible to predict the effect of non-polar or weakly polar auxochromes, but the effect of strongly polar auxochromes is difficult to predict. In addition, the availability of non-bonding electrons which may enter into transitions also contributes greatly to the effect of an auxochrome. CH₃CH₂- and -Cl have a very little effect, usually small red shift of 5-10nm. Other groups such as -NH₂ and -NO₂ are very popular and completely alter the spectra of chromophores.

	Auxochrome	Chromophore
DEFINITION	An auxochrome is a group of atoms that can get attached to a chromophore, thereby increasing the colourfulness of the chromophore	Chromophore is the part of a molecule that is responsible for the colour of that molecule
INTENSITY OF COLOUR	Increase the colour intensity of chromophore	Responsible for the colour of a colourless compound
EXAMPLE	Pale yellow coloured nitrobenzene becomes dark yellow coloured when a hydroxyl group is attached to the molecule	Colourless benzene gets a pale yellow colour when a nitro group is added to the benzene molecule

Fig.Summary of Auxochrome and Chromophore

Solvent effect on absorption spectra

The solvent can significantly influence the position and intensity of absorption bands in a solute's UV-Vis spectrum^[52,53]. This phenomenon, known as the solvent effect, arises from the interaction between the solvent and the solute molecules^[55].

Polarity:

Polar solvents can stabilize polar excited states, leading to a bathochromic shift (red shift). Non-polar solvents provide less stabilization, potentially causing a hypsochromic shift (blue shift)^[52].

Hydrogen Bonding:

Solvents capable of hydrogen bonding interact with solutes, altering their electronic environment and absorption properties^[52,53].

Key Factors Influencing Solvent Effects:

Refractive Index:

The refractive index of the solvent affects the intensity and, occasionally, the position of absorption bands.

Viscosity:

Viscosity influences non-radiative decay processes, which can impact absorbance intensity.

Solvatochromism:

Solvatochromism refers to the change in a compound's absorption spectrum with solvent polarity. It can be positive, where absorption shifts to longer wavelengths in more polar solvents, or negative, where absorption shifts to shorter wavelengths in more polar solvents^[52].

When selecting a solvent for UV-Vis spectroscopy, it should dissolve the sample well, be chemically inert and pure, and be optically transparent in the region of interest^[54,55]. Most solvents absorb light, especially in the UV region, so choosing a solvent that allows optimal light transmission in the desired wavelength range is crucial. Any light absorption by the solvent should be subtracted as a blank or reference spectrum from the analyte spectrum^[54].

Solvent effects are the result of bulk solvent properties such as polarity, dielectric properties, dispersive-induction-polarization interactions, viscosity, and specific solute-solvent interactions^[56].

Commercially available solvents of 'spectroscopic purity' are accompanied by their cut-off wavelengths, based on a 10mm path length. Water and 0.1N solutions of hydrochloric acid and sodium hydroxide are commonly used solvents for absorption spectrometry. Again care has to be taken to avoid interaction. Where methodology requires buffering, solutions have to be non-absorbing and generally, both the composition and pH will be specified. However, if this information is not available lists can be found in the literature. For reactions in the 4.2 to 8.8 pH region, mixtures of 0.1N dihydrogen sodium phosphate and 0.1N hydrogen disodium phosphate are generally used^[57].

Spectral shift

Overview of Spectral Shifts in UV-Visible Spectroscopy

Spectral shifts in ultraviolet (UV) and visible (Vis) spectroscopy are critical phenomena that occur due to various interactions within

molecules. These shifts can be categorized primarily into red shifts (bathochromic shifts) and blue shifts (hypsochromic shifts), which indicate changes in the absorption wavelengths of light by substances.

Red Shifts

Red shifts occur when the absorption maximum of a compound is shifted toward longer wavelengths. This phenomenon is often associated with:

Solvent Effects:

The polarity of the solvent can significantly influence the electronic transitions within a molecule. For instance, a polar solvent may stabilize excited states, leading to a red shift in the absorption spectrum.

Complex Formation:

The formation of complexes, such as metalloporphyrins, can also result in red shifts. For example, studies have shown that the addition of certain amines to Co(TPP) resulted in a consistent red shift to around 428 nm, indicating a change in electronic environment due to complexation^[58].

Conjugation:

Increased conjugation in organic molecules typically leads to red shifts as well. The extended π -electron systems allow for lower energy transitions, moving absorption maxima to longer wavelengths^[59].

Blue Shifts

Conversely, blue shifts refer to the movement of absorption maxima toward shorter wavelengths. This can happen due to:

Particle Size Effects:

In nanomaterials, such as monodisperse CeO₂-x nanoparticles, blue shifts have been observed that are inversely proportional to particle size. Smaller particles exhibit higher energy transitions leading to blue shifts in their UV absorption spectra^[60].

Removal of Conjugation:

When conjugated systems lose their conjugation due to structural changes or interactions with solvents, blue shifts may occur. For example, aniline exhibits a blue shift when placed in acidic conditions where conjugation is disrupted^[59].

Mechanisms Behind Spectral Shifts

The mechanisms driving these spectral shifts can be understood through various electronic transitions:

1. $\pi \rightarrow \pi$ Transition: Common in unsaturated compounds, this transition requires less energy and typically occurs at longer wavelengths.
2. $n \rightarrow \pi$ Transition: This transition is sensitive to hydrogen bonding and solvent effects, often resulting in significant spectral shifts.
3. $n \rightarrow \sigma$ Transition: Generally occurs in saturated compounds and can also lead to observable spectral changes depending on molecular interactions^[61,62].

Understanding spectral shifts in UV-visible spectroscopy is essential for interpreting

molecular behavior under different conditions. Both red and blue shifts provide valuable insights into molecular interactions, structure, and environmental influences on electronic transitions. These principles are widely applicable across fields such as chemistry, material science, and biochemistry.

Generally there are four type of terminologies used to elaborate spectral shifts

These are

1. Bathochromic
2. Hypsochromic
3. Hyperchromic
4. Hypochromic

Nature of Shift	Descriptive Term
To Longer Wavelength	Bathochromic
To Shorter Wavelength	Hypsochromic
To Greater Absorbance	Hyperchromic
To Lower Absorbance	Hypochromic

Fig: Terminologies used in the spectral shifts

Types Of UV Spectroscopic Analytical Techniques

The many UV spectroscopic analysis procedures are as follows:

1. Simultaneous equation method
2. Difference spectrophotometry
3. Derivative spectrophotometre
4. Absorbance ratio spectroscopy.
5. Derivative ratio spectra
6. Successive ratio - derivative spectr
7. Q-absorbance ratio method
8. Absorptivity factor method
9. Dual wavelength method
10. Absorption factor method
11. Multivariate chemometric methods
12. Isosbest

are some of the absorption factor methods.

1. Simultaneous equation

Simultaneous equation method is useful to determine drugs which absorb at the λ_{max} of other in the binary or ternary mixture

Consider

- The absorptivities of X at λ_1 and λ_2 , a_{x1} and a_{x2} , respectively
- The absorptivities of Y at λ_1 and λ_2 , a_{y1} and a_{y2} , respectively
- The absorbance of the dilute sample at λ_1 and λ_2 , A_1 and A_2 , respectively
- X, have concentration c_x and Y have concentration c_y in dilute sample According to the fact, the concentration of mixture is the sum of the individual concentrations of X and Y.

$$\text{So, at } \lambda_1 A_1 = a_{x1}bc_x + a_{y1}bc_y \quad (1)$$

$$\text{At } \lambda_2 A_2 = a_{x2}bc_x + a_{y2}bc_y \quad (2)$$

If cell is 1 cm, $b = 1$ equation 2 become,

$$c_y = (A - a_{x2}c_x) / a_{y2}$$

Substituting value of c_y in equation (1), thus

$$a_{x1}bc_x = A - a_{y1}c_y$$

$$c_x = (A_2 a_{y1} - A_1 a_{y2}) / (a_{x2} a_{y1} - a_{x1} a_{y2}) \quad (3)$$

Similarly for c_y

$$c_y = (A_1 a_{x2} - A_2 a_{x1}) / (a_{x2} a_{y1} - a_{x1} a_{y2}) \quad (4)$$

Glenn” have been suggested criteria for obtaining maximum precision, based on absorbance ratio that place limit on the relative concentration of the component of the mixture. The criteria for that ratio should lie outside the range 0.1–2 for precise determination of X and Y, respectively.

Condition to fulfill this criteria:

- λ_{max} of two-component should be reasonably dissimilar.
- Two-component should not interact chemically, thereby negating the initial assumption that the absorbance.

The additivity of the absorbance should always be confirmed in the development of a new application of this technique. Table 1 summarizes the application of simultaneous equation method for determination of binary mixture in the pharmaceutical dosage form and Table 2 summarizes the application. of simultaneous equation method for determination of ternary mixture in the pharmaceutical dosage form.

Applications of simultaneous equation method and derivative method for the determination of rabeprazole sodium and levosulpiride in pharmaceutical dosage form and dissolution samples^[63].

Simultaneous equation method was developed for simultaneous determination of several mixtures, e.g. atenolol and indapamide^[64]. and dexibuprofen and paracetamol^[65].

It is a simple, accurate, precise, reproducible and economical UV spectroscopic method^[66].

In simultaneous equation method the results of analysis were validated statistically that included parameters such as linearity, accuracy, precision, LOD, LOQ, recovery and robustness^[67].

The simultaneous equation method, particularly in the context of UV-Vis spectroscopy, offers both advantages and disadvantages. This method is primarily used for the simultaneous estimation of multiple components in a mixture, especially when their absorption spectra overlap.

Advantages^[68-73]

- 1. Efficiency:** The simultaneous equation method allows for the analysis of multiple compounds in a single measurement, saving time and resources compared to separate analyses for each component
- 2. Cost-effective:** This method is economical as it reduces the need for multiple reagents and sample preparations, which is particularly beneficial in laboratories with high sample throughput
- 3. Accuracy and Precision:** When properly calibrated, the simultaneous equation method can provide accurate and precise quantification of components, even in complex mixtures where traditional methods may fail due to spectral overlap
- 4. Non-destructive:** The technique is generally non-destructive, allowing for further analysis of the sample after measurement, which is advantageous in many research applications
- 5. Wide Applicability:** It can be applied to various fields such as pharmaceuticals, environmental analysis, and food quality

control, making it a versatile tool in analytical chemistry

Disadvantages

- 1. Complexity in Calibration:** The method requires careful calibration and validation to ensure accuracy, which can be complex and time-consuming. Errors in calibration can lead to significant inaccuracies in results
- 2. Spectral Overlap Limitations:** The effectiveness of this method is limited when the absorption spectra of the components are too similar or overlap significantly. In such cases, it may not be possible to resolve the concentrations accurately
- 3. Sensitivity to Experimental Conditions:** Variations in experimental conditions such as temperature and pH can affect absorbance readings, potentially impacting the reliability of results
- 4. Requires Skilled Personnel:** Proper execution of this method necessitates skilled personnel who are familiar with UV-Vis spectroscopy and its intricacies, which may not always be available

In summary, while the simultaneous equation method in UV-Vis spectroscopy presents significant advantages like efficiency and cost-effectiveness, it also poses challenges related to calibration complexity and spectral overlap that must be carefully managed for successful application.

2. Difference spectrophotometry:

It is a spectrophotometric technique for quantitative determination of an analyte using an equimolar solution of the same analyte as a reference but in the different physicochemical environment, by virtue of their differences in spectral properties ^[74]. In this spectroscopic technique, isolation of an analyte from another component of mixture or other UV active analyte present in mixture sample is achieved. Physicochemical conditions altered mainly involve changes in pH ^[75], temperature ^[76].

Difference spectroscopy in UV-Vis spectroscopy involves measuring the difference in absorbance between a sample and a reference under varying conditions to highlight subtle spectral changes.

Difference spectroscopy in UV-Vis spectroscopy involves measuring the difference in absorbance between a sample and a reference under varying conditions to highlight subtle spectral

changes. This technique is particularly useful for characterizing changes in protein structure and is valuable in biopharmaceutical formulation development¹.

Advantages ^[77-82]

- **Fast and Efficient Analysis:** UV-Vis spectrophotometers can quickly analyze samples by measuring light absorbance at specific wavelengths, providing almost immediate results
- **High Sensitivity and Accuracy:** These instruments can detect minute changes in absorbance, which is crucial for precise measurements, even at low concentrations
- **Non-Destructive Testing:** UV-Vis spectrophotometry allows for repeated measurements on the same sample without degradation, making it useful for precious or limited samples
- **Cost-Effective:** UV-Vis spectroscopy is an inexpensive technique compared to other methods like FTIR, HPLC, and GC
- **Versatile:** UV-Vis spectroscopy can be used to analyze both organic and inorganic compounds, offering a broader range of applications compared to techniques like atomic fluorescence spectroscopy (AFS) and atomic absorption spectroscopy (AAS)⁴.
- **Simple to Use:** The method does not require complex apparatus and is relatively easy to learn

Disadvantages

- **Limited Structural Information:** UV-Vis spectroscopy does not provide detailed structural information about the compound
- **Stray Light Issues:** Stray light can reach the detector without passing through the sample, distorting spectra and leading to inaccurate measurements, especially at low absorbance levels
- **Interference:** Spectrometer readings might be affected by electronic noise, outside light, and other contaminants
- **Requires Calibration:** To determine unknown concentrations, a calibration curve using known concentrations is needed, which can be time-consuming
- **Limited Application:** It can only be used to analyze substances that absorb light in the ultraviolet or visible region of the electromagnetic spectrum

- **Sensitivity to Conditions:** UV-Vis spectroscopy is sensitive to changes in temperature and pressure, requiring samples to be kept at a constant temperature and pressure to obtain accurate results
- **Potential for Inaccuracy:** Stray light from defective equipment design and detector circuit quality can affect measurement accuracy and decrease the instrument's sensitivity

3. Derivative spectrophotometer:

Derivative spectroscopy, as per name indicates, involves derivative of absorbance of zero order or simple absorption spectrum with respect to wavelength. Derivative spectroscopy follows principle additivity, and absorbance is also dependence on concentration [83]. Nowadays derivative spectra obtained directly from spectrophotometers enabled with advanced software such as UV-probe. These software eliminate the need for additional mathematical process or changes in instrumental parameters. Previously derivative spectra were generated by optical method (wavelength modulation

technique)^[84] and electrical method (analog resistance capacitance device) [83]. Later in 1974, new mathematical technique was introduced named as Golaysavitzky method [85] which became commercially popular and part of software now. Derivative spectroscopy used to analyze wide variety and complex origin such as pharmaceutical dosage forms, inorganic samples with metal content biological samples, and samples of food content [86].

Derivative spectroscopy offers following advantages [87,88]:

1. Resolve overlapping peaks of complex samples such as ternary mixture
2. Improve spectral quality by eliminating baseline shift and scattering
3. Direct UV-analysis of samples of complex origin without any chemical pre-treatment of sample of biological origin
4. Allows analysis at lower sample content impurity profiling Derivative spectra magnify the information content from fundamental zero order spectra and are complicated comparatively.

From analytical method point of view, both sensitivity and selectivity of analytical method is improved.

$$\text{Zero order equation } A = abc \tag{5}$$

$$\text{First order equation } \frac{dA}{d\lambda} = \frac{da}{d\lambda} bc \tag{6}$$

$$\text{n}^{\text{th}} \text{ order equation } \frac{dnA}{d\lambda n} = \frac{dna}{d\lambda n} bc \tag{7}$$

Furthermore, derivative spectroscopy had been used for stability study purpose. Using the second derivative UV spectrophotometry, butamirate citrate, and formoterol fumarate were determined by measuring the peak amplitude at 260.4 and 261.8 nm, respectively, without any interference of their degradation products [89].

With derivatization of spectra, signal-to-noise ratio increases. Furthermore, reproducibility obtained with derivative spectroscopy is very low. Anatov et al. reported method of step-by-step-filter method to improve the signal to noise ratio [90]. Brown et al. reported method of derivative reprocessing where drift noise reduction achieved

for multivariate spectral data [91]. Apart from above-mentioned methodology, few variants of derivative spectroscopy were reported globally. Wavelet transformation technique employed successfully for derivative spectroscopy too [92].

4. Absorbance ratio spectroscopy:

Spectrophotometric determination of two or more compounds in the same sample without preliminary separation is in demand. In past decade ratio derivative spectroscopy emerged as good tool to serve this purpose which was based on work of Salinas et al., where they developed a spectrophotometric method based on the use of the

first derivative of the ratio spectra for resolving binary mixtures when the spectra of the components are overlapped. It permits the use of the wavelength of the highest value of analytical signals with several peaks and trough, which permits the determination of an analyte in the presence of other compounds and excipients which could possibly interfere in the analysis^[93].

The method involves following steps

- Recording mixture spectra of samples under investigation.
- Dividing the mixture spectra by a standard divisor spectrum.
- Followed by peak-to-peak/peak-to-trough measurement in the produced ratio spectra, which directly gives the concentration of one of the component in mixture.

5. Successive ratio - derivative spectroscopy:

This method is used for determination of drugs in the ternary mixture without information of ratio of drugs concentration in the mixture. Abdelrahman and Abdelaleem applied successive ratio spectra method to pharmaceutical ternary mixtures including isopropamide iodide, trifluoperazine hydrochloride, and trifluoperazine oxidative degradate^[94].

6. Q-absorbance ratio method:

The method is applicable only when Beer's law is followed for a given combination of the drug. This method is based on the fact that the ratio of absorbance at any two wavelengths for a substance, which obeys Beer's law, is a constant value independent of the concentration and path length. This constant is termed as "Hufner's Quotient" or Q-value. The Q-absorbance equation formed using the absorptivity values at two wavelengths used as such, one being the λ_{max} of one of the components and the other being a wavelength of isoabsorptive point^[95,96].

7. Absorptivity factor method:

This method is a modification of classical absorption method. For implementing this method of spectroscopic analysis following conditions must be fulfilled^[97,98].

- This method is applicable to binary mixture.
- There should be larger difference in between absorptivity of both drugs.
- There should not be isoabsorptive point.

DERIVATIZATION IN UV:

The main purpose of derivatization in uv is to improve detection specifically when determining traces of solutes in complex matrices for Ex.

1] Pharmaceutical substances lacking an UV chromophore in 254 nm region but possessing a reactive functional group.

2] Biological fluids
Ex. blood, serum, urine, cerebrospinal fluid

3] Environmental samples.

Derivatization may be accomplished by two means namely:

a] Pre-column offline derivatization.

b] Post-column online derivatization.

These two methods shall be discussed briefly as under.

Pre-column offline derivatization:

Merits:

A] Require no modification to the instrument

B] Imposes fewer limitations with regard to reaction time and condition.

Demerits:

A] Formation of a stable and well defined product is an absolute necessity.

B] Presence of excess reagent or by products may invariably interfere with separation

C] Very often derivatization may altogether change the spectroscopic properties of sample which facilitated separation.

Post-column online derivatization:

The following experimental parameters should be maintained:

A] Derivatization is performed in a special reactor strategically positioned between the columns and the detector

B] The reaction must be completed rapidly at moderate temperature.

C] The derivatization reaction need not even go to completion provided it can be made reproducible.

Applications

Structural Elucidation of Organic Compounds

UV spectroscopy serves as a valuable tool for unraveling the structural details of organic compounds through the analysis of their UV absorption patterns.

Determination of Molecular Weight

The technique is employed for the precise determination of molecular weights, aiding in the

characterization of molecules based on their UV absorption characteristics.

Detection of Impurities

UV spectroscopy is instrumental in detecting impurities within substances, ensuring the purity and quality of compounds.

Dissociation Constant of Acids and Bases

It is utilized to determine the dissociation constants of acids and bases, providing insights into their chemical properties.

DNA and RNA Analysis

UV spectroscopy plays a crucial role in the analysis of DNA and RNA, facilitating the study of nucleic acid structures and concentrations.

Bacterial Culture

The technique finds application in the analysis of bacterial cultures, offering insights into microbial growth and metabolism.

Quantitative Analysis of Pharmaceutical Compounds

UV spectroscopy is widely employed for the quantitative analysis of pharmaceutical compounds, ensuring accurate concentration measurements.

Qualitative and Quantitative Analysis

It is used for qualitative analysis, allowing the identification of substances based on their unique UV absorption patterns. UV spectroscopy contributes to the assay of medicinal substances, ensuring the efficacy and quality of pharmaceutical formulations.

Detection of Functional Groups

The technique is applied to detect specific functional groups within molecules, aiding in the identification of chemical moieties.

Used in Chemical Kinetics

UV spectroscopy finds utility in chemical kinetics studies, providing real-time insights into reaction mechanisms.

As HPLC Detector

UV spectroscopy serves as a detector in High-Performance Liquid Chromatography (HPLC), enhancing its capabilities in compound separation and analysis.

Beverage Analysis

UV spectroscopy is applied in the analysis of beverages, ensuring quality control and adherence to standards.

Evaluation of Raw Materials

It contributes to the evaluation of raw materials in various industries, ensuring the quality and integrity of starting materials.

In Drug Discovery

UV spectroscopy plays a vital role in drug discovery, aiding in the screening and characterization of potential therapeutic compounds.

Concentration Determination: UV-Vis spectroscopy is used to determine the concentration of substances in solutions by measuring the absorbance at specific wavelengths

II. CONCLUSION:

In conclusion, UV spectroscopy stands as a pivotal and indispensable characterization technique, offering profound insights into the properties of diverse samples through the analysis of their interaction with electromagnetic radiation. This method, characterized by its ease of use, simplicity, accuracy, validity, and cost-effectiveness, emerges as a valuable analytical tool, particularly in determining the concentration of absorbing species when applied to pure compounds with appropriate standard curves. Its widespread application spans across fundamental scientific disciplines such as chemistry, pharmaceuticals, physics, and material science. Significantly, UV spectroscopy serves as a fundamental tool, enabling the exploration and analysis of the composition, physical structure, and electronic structure of matter at the atomic and molecular levels. In essence, its contributions resonate across various fields, solidifying its position as a cornerstone in contemporary scientific research and analysis.

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